AMENDMENT TRANSMITTAL LETTER (Small Entity) Docket No. Applicant(s): Roberts ACCAXIOL 883933.0067 JN 1 4 2002 Filing Date Serial No. Examiner Group Art Unit 8/20/01 09/934,088 unassigned 1638 Invention: mparting Desirable Phenotypic Traits, Including Drought, Freeze and High Salt Tolerance and Methods for RECEIVED **Increasing Seed Production** TO THE ASSISTANT COMMISSIONER FOR PATENTS: TECH CENTER 1600/29b0 Transmitted herewith is an amendment in the above-identified application. Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted. A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed. The fee has been calculated and is transmitted as shown below. **CLAIMS AS AMENDED CLAIMS REMAINING** HIGHEST # NUMBER EXTRA **ADDITIONAL** RATE AFTER AMENDMENT PREV. PAID FOR CLAIMS PRESENT FEE TOTAL CLAIMS 51 32 19 x \$9.00 \$171.00 INDEP. CLAIMS 4 = 9 x 13 \$42.00 \$378.00 \$0.00 Multiple Dependent Claims (check if applicable) \Box TOTAL ADDITIONAL FEE FOR THIS AMENDMENT \$549.00 No additional fee is required for amendment. ☑ Please charge Deposit Account No. 11-0231 in the amount of \$549.00 A duplicate copy of this sheet is enclosed. ☐ A check in the amount of to cover the filing fee is enclosed. The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. A duplicate copy of this sheet is enclosed. Any additional filing fees required under 37 C.F.R. 1.16. Any patent application processing fees under 37 CFR 1.17. Dated: May 24, 2002 Daniel F. Coughlin, Reg. No. 36,111 **Cummings & Lockwood** I certify that this document and fee is being deposited P. O. Box 1960

New Haven, CT 06509-1960

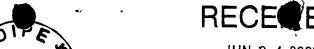
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with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Jean McCue

Typed or Printed Name of Person Mailing Correspondence



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TECH CENTER 1600/2900

STATES PATENT AND TRADEMARK OFFICE

PATENT SO

Roberto A. Gaxiola
09/934,088
August 20,2001
1638
unassigned
Method For Imparting Desirable
Phenotypic Traits, Including Drought,
Freeze and High Salt Tolerance and
Methods for Increasing Seed
Production

37 C.F.R. § 1.8 Certificate of Mailing
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Washington, D.C. 20231.
May 24, 2002

Jean McCue

May 24, 2002

Commissioner for Patents Washington, DC 20231

PRELIMINARY AMENDMENT FILED UNDER 37 C.F.R. § 1.115

Dear Sir:

Prior to substantive examination of the instant application, please amend the application as indicated below. Please charge Deposit Account No. 11-0231 in the amount of \$549.00 to cover fees for presentation of extra claims. In the event that additional fees are required, or if a refund of fees is appropriate, please charge or credit, where necessary, Deposit Account No. 11-0231.

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